JAN 22 2002

First Named Inventor	Durcan et al.
Serial No.	09/652,998
Filing Date	August 31, 2000
Group Art Unit	2814
Examiner Name	A. Mai
Attorney Docket No.	400.153US07

TRANSMITTAL FORM UNDER 37 CFR 1.8 (LARGE ENTITY)

(2.1.102 2.1111)

Title: CONTAINER CAPACITOR STRUCTURE AND METHOD OF FORMATION THEREOF

Commissioner for Patents Washington, DC 20231

#### **Enclosures**

#### The following documents are enclosed:

- X An Amendment and Response to Office Action (5 pgs., plus Marked-Up Version of Amendments 2 pgs.)
- $\underline{\mathbf{X}}$  A return postcard.

Please charge any additional fees or credit any overpayments to Deposit Account No. 501373.

## **CUSTOMER NUMBER 27073**

Fee Calculation									
	Number of Claims		Prv. Amt.	Extra Claims		Fee	\u0	Fee Paid	
Total Claims	4	-20	2	0	X	\$18	=	\$0	
Independent Claims	3	-3	l	0	X	\$84	=	\$0	
							=		
			·	12.11			Total	\$0	

Submitted By

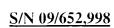
Name Russell D. Slifer Reg. No. 39,838 Telephone (612) 312-2202

Signature Date December 21, 2001

Certificate of Mailing

I certify that this correspondence, and the documents identified above, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on December 21, 2001.

Name Lusan Donovan Signature





### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Durcan et al.

Serial No.:

09/652,998

Filed: Title:

August 31, 2000

CONTAINER CAPACITOR STRUCTURE AND METHOD OF FORMATION **THEREOF** 

Group Art Unit: 2814 Docket: 400.153US07

Examiner: A. Mai

# AMENDMENT AND RESPONSE

Commissioner for Patents Washington, D.C. 20231

In response to the Office Action dated September 24, 2001, please amend the aboveidentified patent application as follows:

#### IN THE CLAIMS

Please amend the claims as follows:

13. An array of capacitors, comprising:

a first bottom capacitor plate;

a second bottom capacitor plate;

a third bottom capacitor plate;

a contact between said first bottom capacitor plate and said second bottom capacitor plate, the contact downwardly extends from a vertical height above a top of the first bottom capacitor plate;

a trench between said second bottom capacitor plate and said third bottom capacitor plate;

a common top capacitor plate over said first bottom capacitor plate, said second bottom capacitor plate, and said third bottom capacitor plate, wherein said top capacitor plate extends toward said contact at a first level within said array, the top capacitor plate includes a lateral clearance opening at the first level around the contact, and wherein said top capacitor plate lines a side of said trench and further lines a bottom of said trench at a second level within said array; and